

|   |  |  |  |  |  |  |  |                                  |                          |
|---|--|--|--|--|--|--|--|----------------------------------|--------------------------|
| SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |  |  |  |  |  |  |  | ATTY. DOCKET NO.<br>ERIE/75      | SERIAL NO.<br>10/021,602 |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT                                    |  |  |  |  |  |  |  | APPLICANT<br>Adrien et al.       |                          |
| (Use several sheets if necessary)<br>(37 CFR 1.98(b))                               |  |  |  |  |  |  |  | FILING DATE<br>December 12, 2001 | GROUP<br>1645 1743       |
| MATERIALS   |  |  |  |  |  |  |  |                                  |                          |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |     | PATENT NUMBER |   |   |   |   |   |   | ISSUE DATE | PATENTEE        | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----|---------------|---|---|---|---|---|---|------------|-----------------|-------|----------|----------------------------|
| 810              | A.A | 3             | 5 | 7 | 2 | 8 | 9 | 2 | 03/1971    | Metzgar et al.  |       |          |                            |
|                  | A.B | 3             | 8 | 7 | 9 | 1 | 0 | 6 | 04/1975    | McCormick       |       |          |                            |
|                  | A.C | 3             | 9 | 6 | 0 | 2 | 6 | 8 | 06/1976    | Davis et al.    |       |          |                            |
|                  | A.D | 4             | 0 | 2 | 2 | 5 | 2 | 1 | 05/1997    | Hall et al.     |       |          |                            |
|                  | A.E | 4             | 2 | 6 | 0 | 3 | 9 | 2 | 04/1981    | Lee             |       |          |                            |
|                  | A.F | 4             | 4 | 4 | 7 | 1 | 4 | 0 | 05/1984    | Campbell et al. |       |          |                            |
|                  | A.G | 4             | 4 | 8 | 1 | 2 | 4 | 6 | 11/1984    | Melisz et al.   |       |          |                            |
|                  | A.H | 4             | 5 | 0 | 1 | 4 | 9 | 6 | 02/1985    | Griffin         |       |          |                            |
|                  | A.I | 4             | 5 | 9 | 5 | 5 | 6 | 1 | 07/1986    | Thornton et al. |       |          |                            |
|                  | A.J | 4             | 6 | 2 | 4 | 8 | 8 | 2 | 11/1986    | Melisz et al.   |       |          |                            |
| 810              | A.K | 4             | 6 | 4 | 7 | 5 | 4 | 3 | 03/1987    | Stocker         |       |          |                            |

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

|  |     | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION (YES/NO) |
|--|-----|-----------------|------------------|--------------------------|-------|----------|----------------------|
|  | A.L |                 |                  |                          |       |          |                      |
|  | A.M |                 |                  |                          |       |          |                      |
|  | A.N |                 |                  |                          |       |          |                      |
|  | A.O |                 |                  |                          |       |          |                      |
|  | A.P |                 |                  |                          |       |          |                      |
|  | A.Q |                 |                  |                          |       |          |                      |

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

|     |     |   |
|-----|-----|---|
| 810 | A.R | Erie Scientific Company. Microscope Slides and Cover Glass Dealer Price List, October 1, 2000 |
| 810 | A.S | Erie Scientific Company. Brochure for a LIFTERSILIP premium printed cover glass               |
| 810 | A.T | Specification sheet for a HYBRIWELL sealing system  |

| EXAMINER      | DATE CONSIDERED |
|---------------|-----------------|
| Cross, Latoya | June 2004       |

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
ERIE/75SERIAL NO.  
10/021,602INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(37 CFR 1.98(b))

(Use several sheets if necessary)

MAR 01 2002

APPLICANT  
Adrien et al.FILING DATE  
December 12, 2001GROUP  
1645-1743

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |     | PATENT NUMBER |   |   |   |   |   |   | ISSUE DATE | PATENTEE       | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----|---------------|---|---|---|---|---|---|------------|----------------|-------|----------|----------------------------|
| 810              | B.A | 4             | 6 | 7 | 9 | 9 | 1 | 4 | 07/1987    | Rosenberg      |       |          |                            |
|                  | B.B | 4             | 7 | 3 | 1 | 3 | 3 | 5 | 03/1988    | Brigati        |       |          |                            |
|                  | B.C | 4             | 7 | 7 | 7 | 0 | 2 | 0 | 10/1988    | Brigati        |       |          |                            |
|                  | B.D | 5             | 0 | 0 | 2 | 7 | 3 | 6 | 03/1991    | Babbitt et al. |       |          |                            |
|                  | B.E | 5             | 3 | 4 | 9 | 4 | 3 | 6 | 09/1994    | Fisch          |       |          |                            |
|                  | B.F | 5             | 5 | 7 | 1 | 7 | 2 | 1 | 11/1996    | Turner         |       |          |                            |
|                  | B.G | 5             | 6 | 8 | 1 | 7 | 4 | 1 | 10/1997    | Atwood et al.  |       |          |                            |
|                  | B.H | 5             | 8 | 1 | 2 | 3 | 1 | 2 | 09/1998    | Lorincz        |       |          |                            |
|                  | B.I | 5             | 9 | 3 | 9 | 2 | 5 | 1 | 08/1999    | Hu             |       |          |                            |
|                  | B.J | 6             | 1 | 8 | 0 | 3 | 1 | 4 | 01/2001    | Berndt         |       |          |                            |
| 810              | B.K |               |   |   |   |   |   |   |            |                |       |          |                            |

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

|  |     | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION (YES/NO) |
|--|-----|-----------------|------------------|--------------------------|-------|----------|----------------------|
|  | B.L |                 |                  |                          |       |          |                      |
|  | B.M |                 |                  |                          |       |          |                      |
|  | B.N |                 |                  |                          |       |          |                      |
|  | B.O |                 |                  |                          |       |          |                      |
|  | B.P |                 |                  |                          |       |          |                      |
|  | B.Q |                 |                  |                          |       |          |                      |

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

|     |     |  |
|-----|-----|--|
| 810 | B.R | Instructions for use for a HYBRIWELL   |
| 810 | B.S | Detailed drawings of a glass product sold by Erie Scientific at least since 1999 |
|     | B.T |  |

|                                   |                                   |
|-----------------------------------|-----------------------------------|
| EXAMINER<br><i>C'eoss, LaToya</i> | DATE CONSIDERED<br><i>06/2004</i> |
|-----------------------------------|-----------------------------------|

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

| Title of Invention  | COVER SLIP |            |            |                        |      |          |          |       |          |     |   |         |            |           |  |  |  |     |   |         |            |             |  |  |  |     |   |         |            |       |  |  |  |     |   |         |            |                        |  |  |  |     |   |         |            |           |  |  |  |
|---|------------|------------|------------|------------------------|------|----------|----------|-------|----------|-----|---|---------|------------|-----------|--|--|--|-----|---|---------|------------|-------------|--|--|--|-----|---|---------|------------|-------|--|--|--|-----|---|---------|------------|------------------------|--|--|--|-----|---|---------|------------|-----------|--|--|--|
| <p>Application Number: 10/021602<br/>Confirmation Number: 5540<br/>First Named Applicant: Christopher Adrien<br/>Attorney Docket Number: ERIE75<br/>Art Unit: 1645 1743<br/>Search string: ( 3879106 or 1654369 or 4790640 or 3556633 or 2942520 ).pn.</p>  |            |            |            |                        |      |          |          |       |          |     |   |         |            |           |  |  |  |     |   |         |            |             |  |  |  |     |   |         |            |       |  |  |  |     |   |         |            |                        |  |  |  |     |   |         |            |           |  |  |  |
| <p><u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:</p> <p>That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.</p>   |            |            |            |                        |      |          |          |       |          |     |   |         |            |           |  |  |  |     |   |         |            |             |  |  |  |     |   |         |            |       |  |  |  |     |   |         |            |                        |  |  |  |     |   |         |            |           |  |  |  |
| <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>Init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>RIC</td><td>1</td><td>3879106</td><td>1975-04-22</td><td>McCormick</td><td></td><td></td><td></td></tr><tr><td>SCU</td><td>2</td><td>1654369</td><td>1927-12-27</td><td>H.W. George</td><td></td><td></td><td></td></tr><tr><td>SCU</td><td>3</td><td>4790640</td><td>1988-12-13</td><td>Nason</td><td></td><td></td><td></td></tr><tr><td>SCU</td><td>4</td><td>3556633</td><td>1971-01-19</td><td>W.L. Mutschmann et al.</td><td></td><td></td><td></td></tr><tr><td>SCU</td><td>5</td><td>2942520</td><td>1960-06-28</td><td>G.G. Rose</td><td></td><td></td><td></td></tr></tbody></table> |            | Init       | Cite.No.   | Patent No.             | Date | Patentee | Kind     | Class | Subclass | RIC | 1 | 3879106 | 1975-04-22 | McCormick |  |  |  | SCU | 2 | 1654369 | 1927-12-27 | H.W. George |  |  |  | SCU | 3 | 4790640 | 1988-12-13 | Nason |  |  |  | SCU | 4 | 3556633 | 1971-01-19 | W.L. Mutschmann et al. |  |  |  | SCU | 5 | 2942520 | 1960-06-28 | G.G. Rose |  |  |  |
| Init  | Cite.No.   | Patent No. | Date       | Patentee               | Kind | Class    | Subclass |       |          |     |   |         |            |           |  |  |  |     |   |         |            |             |  |  |  |     |   |         |            |       |  |  |  |     |   |         |            |                        |  |  |  |     |   |         |            |           |  |  |  |
| RIC   | 1          | 3879106    | 1975-04-22 | McCormick              |      |          |          |       |          |     |   |         |            |           |  |  |  |     |   |         |            |             |  |  |  |     |   |         |            |       |  |  |  |     |   |         |            |                        |  |  |  |     |   |         |            |           |  |  |  |
| SCU   | 2          | 1654369    | 1927-12-27 | H.W. George            |      |          |          |       |          |     |   |         |            |           |  |  |  |     |   |         |            |             |  |  |  |     |   |         |            |       |  |  |  |     |   |         |            |                        |  |  |  |     |   |         |            |           |  |  |  |
| SCU   | 3          | 4790640    | 1988-12-13 | Nason                  |      |          |          |       |          |     |   |         |            |           |  |  |  |     |   |         |            |             |  |  |  |     |   |         |            |       |  |  |  |     |   |         |            |                        |  |  |  |     |   |         |            |           |  |  |  |
| SCU   | 4          | 3556633    | 1971-01-19 | W.L. Mutschmann et al. |      |          |          |       |          |     |   |         |            |           |  |  |  |     |   |         |            |             |  |  |  |     |   |         |            |       |  |  |  |     |   |         |            |                        |  |  |  |     |   |         |            |           |  |  |  |
| SCU   | 5          | 2942520    | 1960-06-28 | G.G. Rose              |      |          |          |       |          |     |   |         |            |           |  |  |  |     |   |         |            |             |  |  |  |     |   |         |            |       |  |  |  |     |   |         |            |                        |  |  |  |     |   |         |            |           |  |  |  |

## Remarks

Cross, LaToya June 2004

Not : Remarks are not for responding to an office action.

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JUL 30 2003  
PATENT & TRADEMARK OFFICE

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

| Title of Invention  | COVER SLIP |            |            |             |      |          |          |       |          |    |   |         |            |          |    |  |  |    |   |         |            |             |    |  |  |               |      |
|---|------------|------------|------------|-------------|------|----------|----------|-------|----------|----|---|---------|------------|----------|----|--|--|----|---|---------|------------|-------------|----|--|--|---------------|------|
| Application Number: 10/021602<br>Confirmation Number: 5540<br>First Named Applicant: Christopher Adrien<br>Attorney Docket Number: ERIE75<br>Art Unit: 1645 1743<br>Search string: ( 6218191 or 6277629 ).pn.   |            |            |            |             |      |          |          |       |          |    |   |         |            |          |    |  |  |    |   |         |            |             |    |  |  |               |      |
| <p><u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:</p> <p>That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.</p> <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>SC</td><td>1</td><td>6218191</td><td>2001-04-17</td><td>Palander</td><td>B1</td><td></td><td></td></tr><tr><td>SC</td><td>2</td><td>6277629</td><td>2001-08-21</td><td>Wolf et al.</td><td>B1</td><td></td><td></td></tr></tbody></table> <p><b>Remarks</b></p> <p>Note: Remarks are not for responding to an office action.</p> <p>Foreign reference being faxed today July 30, 2003 to TC 1600, fax number 703-872-9306.</p> <p><b>Signature</b><br/><i>Cross, LaToya</i></p> <p><i>June 2004</i></p> <table border="1"><tr><td>Examiner Name</td><td>Date</td></tr></table> |            | init       | Cite.No.   | Patent No.  | Date | Patentee | Kind     | Class | Subclass | SC | 1 | 6218191 | 2001-04-17 | Palander | B1 |  |  | SC | 2 | 6277629 | 2001-08-21 | Wolf et al. | B1 |  |  | Examiner Name | Date |
| init  | Cite.No.   | Patent No. | Date       | Patentee    | Kind | Class    | Subclass |       |          |    |   |         |            |          |    |  |  |    |   |         |            |             |    |  |  |               |      |
| SC  | 1          | 6218191    | 2001-04-17 | Palander    | B1   |          |          |       |          |    |   |         |            |          |    |  |  |    |   |         |            |             |    |  |  |               |      |
| SC  | 2          | 6277629    | 2001-08-21 | Wolf et al. | B1   |          |          |       |          |    |   |         |            |          |    |  |  |    |   |         |            |             |    |  |  |               |      |
| Examiner Name   | Date       |            |            |             |      |          |          |       |          |    |   |         |            |          |    |  |  |    |   |         |            |             |    |  |  |               |      |

|   |  |  |  |                                  |                          |
|---|--|--|--|----------------------------------|--------------------------|
| SUBSTITUTE FORM PTO-1449<br>(MODIFIED)  |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |  | ATTY. DOCKET NO.<br>ERIE-75      | SERIAL NO.<br>10/021,602 |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br><br>(Use several sheets if necessary)<br>(37 CFR 1.98(b)) |  |  |  | APPLICANT<br>Adrien et al.       |                          |
|   |  |  |  | FILING DATE<br>December 12, 2001 | GROUP<br>1645 1743       |

## U.S. PATENT DOCUMENTS

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|------------------|-----|---------------|------------|----------|-------|----------|----------------------------|
|                  | A.A |               |            |          |       |          |                            |
|                  | A.B |               |            |          |       |          |                            |
|                  | A.C |               |            |          |       |          |                            |
|                  | A.D |               |            |          |       |          |                            |
|                  | A.E |               |            |          |       |          |                            |
|                  | A.F |               |            |          |       |          |                            |
|                  | A.G |               |            |          |       |          |                            |
|                  | A.H |               |            |          |       |          |                            |
|                  | A.I |               |            |          |       |          |                            |
|                  | A.J |               |            |          |       |          |                            |
|                  | A.K |               |            |          |       |          |                            |

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

|            |     | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION (YES/NO) |
|------------|-----|-----------------|------------------|--------------------------|-------|----------|----------------------|
| <i>SPC</i> | A.L | 3830721         | 03/1990          | DE                       |       |          | NO                   |
|            | A.M |                 |                  |                          |       |          |                      |
|            | A.N |                 |                  |                          |       |          |                      |
|            | A.O |                 |                  |                          |       |          |                      |
|            | A.P |                 |                  |                          |       |          |                      |
|            | A.Q |                 |                  |                          |       |          |                      |

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

|     |  |
|-----|--|
| A.R |  |
| A.S |  |
| A.T |  |

EXAMINER *Cross, LaToya* DATE CONSIDERED *June 2004*

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Substitute Disclosure Form (PTO-1449)